

Tullis vs. Ameriprise

Josh Ross represented investors who filed claims against their broker/investment advisor and a national brokerage firm. Stoll Berne's clients claimed that the broker performed insufficient due diligence and recommended an unsuitable investment strategy. Ross tried the case to a panel of arbitrators in a four-day FINRA arbitration. The panel issued an award in favor of Stoll Berne's client, awarding the full amount of the damages sought. All three arbitrators agreed to the amount of damages and, in a written dissent, one of the arbitrators wrote that the arbitrator would have awarded the full damages plus interest.

Case Team



Joshua Ross
Attorney