## Stoll Berne

## Regional Bank and Broker-Dealer Securities Fraud

Keith Ketterling sued a regional bank and broker-dealer for securities fraud on behalf of a group of individual investors who were targeted by defendants for the sale of speculative, illiquid investments in spite of plaintiffs' lack of sophistication and limited investment horizons. Stoll Berne obtained settlements on behalf of each investor.

## **Case Team**



Keith Ketterling Attorney